

# SEMINAR $K$ -THEORY AND INDEX THEORY

PROF. DR. S. GOETTE, DR. DORIS HEIN

We want to understand the Atiyah-Singer Index Theorem from a topological point of view following [AS], [LM], and [Sh]. We will need some background in topological  $K$ -theory, and in the analysis of pseudodifferential operators. We leave out cohomological formulas, except where explicitly stated.

## 1. VECTOR BUNDLES AND $K$ -THEORY

1.1. **Vector Bundles** — **28.4**. Vector bundles, sections, Whitney sum and product [Ha, Section 1.1]. Differential operators on sections, principal symbol  $\sigma(D)$  [LM, III 1.2–1.4, 1.7].

1.2. **Dirac Bundles and Dirac Operators** — **5.5**. Dirac bundles and operators. Examples: Euler operator, signature operator, Dolbeault operator, spin structures and the spin Dirac operator, and their analytic indices.

1.3.  **$K$ -Theory** — **12.5**. Topological  $K$ -theory for compact spaces [LM, I 9.1–9.13]. For locally compact spaces: description by complexes of vector bundles, example: symbol of an elliptic differential operator [Sh, pp. 61–67], see also [Se, pp. 148–151].

**Classifying Spaces, Characteristic Classes and the Splitting Principle** — **entfällt**. Classification of vector bundles [Ha, Section 1.2], Cohomology of Grassmannians, Splitting principle.

1.4. **Thom isomorphism and Bott periodicity** — **19.5**. Bott periodicity, just sketch the proof [LM, 9.14–9.20]. Thom isomorphism theorem for complex vector bundles [Sh, pp. 67–69] with proof [LM, App. C].

**Transversality and Embeddings** — **entfällt**. Explain Sard’s Lemma and transversality. Prove the Whitney Embedding Theorem. Explain the “stable normal bundle” of a smooth manifold.

1.5. **The Topological Index** — **26.5**. Define the topological index and prove the axiomatic characterisation [Sh, Section 9].

1.6. **The Cohomological Index** — **2.6**. Multiplicative sequences and the Chern character. Compare Thom-Isomorphisms in cohomology and  $K$ -theory. Give the cohomological index in terms of the principal symbol, and discuss the special case of Dirac operators [LM, III Sections 11, 12 and 13.8–13.15].

## 2. PSEUDODIFFERENTIAL OPERATORS AND THE INDEX THEOREM

2.1. **Sobolev Spaces** — **16.6.** Recall the basics of Fourier analysis, define Sobolev spaces of sections, and prove the Sobolev theorem and Rellich lemma [LM, Section 2].

2.2. **Pseudodifferential operators** — **23.6.** Motivate and define pseudodifferential operators ( $\Psi$ DOs) [Sh, Section 10] [LM, III Section 3].

2.3. **Elliptic Operators** — **30.6.** Define elliptic  $\Psi$ DOs, parametrices. Prove the Fredholm property and define the index for elliptic differential operators [LM, III 4.1–5.4].

2.4. **Spectrum and the Heat Equation** — **7.7.** Construct the spectrum of an elliptic differential operator, prove the Hodge theorem [LM, III 5.5–5.9]. Define the heat operator and sketch the heat kernel proof of the index theorem [LM, III Section 6].

2.5. **The Index of Elliptic Pseudodifferential Operators** — **14.7.** Define the index of an elliptic  $\Psi$ DO and show that it depends only on the principal symbol [Sh, Section 11].

2.6. **The Atiyah-Singer Index Theorem** — **21.7.** Prove the Atiyah-Singer index theorem [LM, III Section 13] or [Sh, Section 12].

**Generalisations of the Index Theorem** — **28.7.** State the Atiyah-Singer index theorem for manifolds with a group action and the fixpoint formula [LM, III Sections 9 and 14] [Sh, Sections 13–15], or for families of manifolds [LM, III Sections 8 and 15].

## LITERATUR

- [AS] M. F. Atiyah, I. M. Singer, The index of elliptic operators: I, *Ann. of Math.* 87 (1968), 484–530
- [BB] D. D. Bleecker, B. Booß-Bavnbek, *Index Theory*, International Press, 2013
- [Ha] A. Hatcher, *Vector bundles and K-theory*, (Fragment),  
<http://www.math.cornell.edu/~hatcher/VBKT/VBpage.html> .
- [LM] H. B. Lawson, M.-L. Michelsohn, *Spin Geometry*, Princeton University Press, 1989
- [Se] G. Segal, Equivariant  $K$ -theory, *Publ. Math. IHES* 34 (1968), 129–151
- [Sh] P. Shanahan, *The Atiyah-Singer Index Theorem*, Lecture Notes 638, Springer, 1978