

# Many countable support iterations of proper forcings preserve Souslin trees

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## Definition

The club principle  $\clubsuit$ , also called Ostaszewski's club, says: There is a sequence  $\langle A_\alpha \mid \alpha \in \omega, \text{lim}(\alpha) \rangle$ , with the following properties:  $A_\alpha$  is a cofinal subset of  $\alpha$ , and for every uncountable  $X \subseteq \omega_1$ , there are stationarily many  $\alpha$  with  $A_\alpha \subseteq X$ .

# A Souslin tree from Cohen reals

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## Theorem, Miyamoto

If the club principle holds and  $\text{cov}(\mathcal{M}) = \aleph_2$  then there is a Souslin tree.

## Theorem, Brendle 2006

If the club principle holds and  $\text{cof}(\mathcal{M}) = \aleph_1$  then there is a Souslin tree.

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Examples are the Miller model and the Blass-Shelah model.

# Are there Souslin trees in these models?

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Proof: The reason is the preservation of Souslin trees. The diamond principle holds after  $\omega_1$  iteration steps. So there are Souslin trees after  $\omega_1$  steps of the iteration.



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Third one: For nep forcings. Preserving  $\omega$ -many Cohen generics over many (not necessarily elementary) models is a sufficient criterion. This is weaker than the game-theoretic criterion. However, it is applicable only to forcings that are nep in a strong sense. However, it does not cover all the forcings from the second case.

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Iteration issues. Preserving a Souslin tree is iterable.

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For convenience we write is such that  $[\omega\alpha, \omega\alpha + \omega) \setminus \{0\}$  being a superset of the  $(1 + \alpha)$ -th level and  $\{0\}$  being the zero-th level. We require that the trees are pruned, i.e., for every node  $t$  on level  $\alpha$  for every  $\beta > \alpha$  there is  $t' >_T t$  on level  $\beta$ . Moreover, every node shall be a splitting node.



## Forcing with a Souslin tree

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Let for  $\delta \in \omega_1$ , we let  $Y(\delta) = \{t_n^\delta \mid n < \gamma_\delta\} \subseteq T_\delta$ ,  $\gamma_\delta \leq \omega$ . Let  $Y = \bigcup\{Y(\delta) \mid \delta \in \omega_1\}$ . Let  $\mathcal{S} \subseteq [\omega_1]^\omega$  be stationary.

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## Definition

We say  $T$  is  $(Y, \mathcal{S})$ -proper iff  $Y \subseteq T$  and  $\mathcal{S} \subseteq [\omega_1]^\omega$  and for every sufficiently large  $\chi$  for every countable  $N \prec \mathcal{H}(\chi)$  with  $\{T, \mathcal{S}\} \subset N$  and  $N \cap \omega_1 \in \mathcal{S}$ ,  $\delta = \sup(N \cap \omega_1)$  for every  $t \in Y(\delta)$ ,  $T_{<_T t} := \{s \mid s <_T t\}$  is  $(N, T)$  generic.

# An old characterisation of Souslinness

If every node of  $T$  is splitting and  $T$  has an uncountable chain then  $T$  has an uncountable antichain. So an everywhere splitting tree  $T$  is Souslin iff it has no uncountable antichain. The latter is used in the proof of the following:

## Lemma

*The following are equivalent:*

- (1)  $T$  is Souslin.
- (2)  $T$  is  $(Y, \mathcal{S})$ -proper for every stationary  $\mathcal{S} \subseteq [\omega_1]^\omega$  and for every  $Y$  of the form  $\bigcup_{\delta \in W} T_\delta$ , such that  $W \subseteq \{\sup(a) \mid a \in \mathcal{S}\}$  stationary.
- (3)  $T$  is  $(Y, \mathcal{S})$ -proper for some stationary  $\mathcal{S} \subseteq [\omega_1]^\omega$  and for some  $Y$  of the form  $\bigcup_{\delta \in W} T_\delta$ , such that  $W \subseteq \{\sup(a) \mid a \in \mathcal{S}\}$  stationary.

## Definition

We say  $\mathbb{P}$  is  $(T, Y, \mathcal{S})$ -preserving iff the following holds: Let  $\mathcal{S} \subseteq \omega_1$  be stationary. There is  $x \in H(\chi)$ , for every  $N \prec \mathcal{H}(\chi)$  with  $\{x, Y, T, \mathbb{P}, \mathcal{S}\} \subseteq N$  and  $p \in \mathbb{P} \cap N$ : if  $\sup(N \cap \omega_1) = \delta$ ,  $N \cap \omega_1 \in \mathcal{S}$ , and for every  $n < \gamma_\delta$ ,  $\{s \mid s <_T t_n^\delta\}$  is  $(N, \mathbb{P}, p)$ -generic, then there is  $q \geq_{\mathbb{P}} p$  such that  $q$  is  $(N, \mathbb{P})$ -generic and

$$q \Vdash_{\mathbb{P}} (\forall n < \gamma_\delta) (\{s \mid s <_T t_n^\delta\} \text{ is } (N[\mathbf{G}_{\mathbb{P}}], T)\text{-generic}).$$

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Remark: If  $\mathcal{S}$  and  $Y$  are large enough, this implies properness. We use that  $\mathbb{P}$  is  $(T, Y, \mathcal{S})$ -preserving for a stationary  $\mathcal{S}$  and a set  $Y$  containing all points of a stationary set of levels of the Souslin tree  $T$  and thus we get that  $\mathbb{P}$  preserves that  $T$  is a Souslin tree.

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“ $\mathbb{Q}$  is  $(T, Y, \mathcal{S})$ -preserving” is an iterable property.

# A completeness game

## Definition

Let  $\mathbb{P}$  be a notion of forcing and  $p \in \mathbb{P}$ .

The game  $\mathfrak{D}^2(\mathbb{P}, p)$  is played in  $\omega$  rounds. In round  $n$ , player COM chooses an  $\ell_n \in \omega \setminus \{0\}$  and a sequence  $\langle p_{n,\ell} \mid \ell < \ell_n \rangle$  of conditions  $p_{n,\ell} \in \mathbb{P}$  and then player INC plays  $\langle q_{n,\ell} \mid n < \omega \rangle$  such that  $p_{n,\ell} \leq q_{n,\ell}$ . After  $\omega$  rounds, COM wins the game iff for every infinite  $u \subseteq \omega$  there is  $q_u \geq p$  such that

$$q_u \Vdash (\exists^\infty n \in u)(\exists \ell < \ell_n)(q_{n,\ell} \in \mathfrak{G}).$$



## Theorem

*Assume  $\alpha(*) = \omega_1$  and  $\mathcal{S} \subseteq \omega_1$  is stationary. Let  $T$  be an  $\omega_1$ -tree and  $Y \subseteq T$ . If COM has a winning strategy in the game  $\mathfrak{D}^2(\mathbb{P})$ , then  $\mathbb{P}$  is  $(T, Y, \mathcal{S})$ -preserving.*

Let  $\mathbb{P}$  be the Miller forcing

### Lemma

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## Example

In the Laver forcing COM does not have a winning strategy in  $\mathfrak{D}^2(\mathbb{P})$ . The existence of such a winning strategy implies that  $\mathbb{P}$  is almost  $\omega^\omega$ -bounding. Nevertheless Laver forcing preserves Souslin trees.

## Proof of the previous lemma: A strategy for COM

Let  $v \subseteq {}^\omega > \omega$ . We let  $\text{dcl}(v) = \{\eta \upharpoonright k \mid \eta \in v, k < \text{lg}(\eta)\}$  be the descending closure of  $v$ .

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On the side after the in the  $n$ -th move COM chooses a finite set of nodes  $v_n$  such the sequence  $\langle \bar{p}_n, \bar{q}_n, v_n \mid n \in \omega \rangle$  has the following properties

## A strategy for COM, II

- (0)  $\ell_0 = 1$ ,  $p_{0,0} = p$ ,  $q_{0,0} \geq p_{0,0}$ ,  $v_0 = \{\text{tr}(q_{0,0})\}$ .
- (1) For  $n \geq 1$ , given  $v_{n-1}$ , COM chooses  $\ell_n = |v_{n-1}|$  and for  $\eta \in v_{n-1}$ ,  $\eta = \text{tr}(q_{n',\ell})$  for some  $n' < n$ ,  $\ell < \ell_{n'}$  he lets

$$m(\eta, n) = \min\{k \mid \eta \hat{=} k \in q_{n',\ell} \setminus \text{dcl}(v_{n-1})\}.$$

Let  $\{\eta_\ell^n \mid \ell < \ell_n\}$  enumerate  $v_{n-1}$  and let  $\eta_\ell^n = \text{tr}(q_{n',\ell'})$ .  
Now COM chooses  $p_{n,\ell} = q_{n',\ell'}^{[\eta_\ell^n \hat{=} m(\eta_\ell, n)]}$ .

- (2) INC plays  $q_{n,\ell} \geq p_{n,\ell}$ .
- (3) Now COM chooses his new helper:  
 $v_n = v_{n-1} \cup \{\text{tr}(q_{n,\ell}) \mid \ell < \ell_n\}$ , and the round is finished.  
Indeed  $\ell_{n+1} = 2\ell_n$  and  $\ell_0 = 1$ , but this is not important.

# This is a winning strategy

The strategy  $st$  is a winning strategy for COM: Let  $u \subseteq \omega$  be infinite. By induction on  $n \in u$  we choose  $s_n \subseteq v_n \setminus v_{n-1}$ . If  $n = \min(u)$ , then  $s_n \subseteq v_n \setminus v_{n-1}$  is a singleton. For  $n > \min(u)$ , let

$$s_n = s_{\max(u \cap n)} \cup \{\eta \in v_n \setminus v_{n-1} \mid \nu = \max_{\triangleleft} \{\varrho \in v_n \mid \varrho \trianglelefteq \eta\} \in s_{\max(u \cap n)}\}.$$

Lastly we let

$$q_u = \{\varrho \mid (\exists n \in u)(\exists \eta \in s_n)(\varrho \trianglelefteq \eta)\}.$$



# Preserving Souslin trees for another reason

We compute with the norms in the linear creature case.

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## Lemma

*Blass-Shelah forcing is  $(T, Y, \mathcal{S})$ -preserving.*