## Compactly expandable dense linear orderings

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**Definition 0.1.** Let M be an L-structure,  $L \subseteq L'$  and  $\Sigma$  a set of L'sentences. We say that  $\Sigma$  is satisfiable in M if some expansion of M satisfies  $\Sigma$ . We say that  $\Sigma$  is finitely satisfiable in M if every finite subset of  $\Sigma$  is satisfiable in M.

We say that the L-structure M is  $\kappa$ -compactly expandable if for all L'every set of L'-sentences of cardinality  $< \kappa$  which is finitely satisfiable in Mis satisfiable in M. It is  $\kappa$ -expandable if every set of sentences of cardinality  $< \kappa$  which is consistent with the complete theory of M is satisfiable in M. Finally, if  $\kappa = |M|$  is the cardinality of M, we say that M is compactly expandable if it is  $\kappa^+$ -compactly expandable, and it is expandable if it is  $\kappa^+$ -expandable .

**Remark 0.2.** Let (A, <) be compactly expandable dense linear order of cardinality  $\omega_1$ .

- 1.  $\eta_1$  is embeddable in (A, <), if it exists.
- 2. All intervals in (A, <) are uncountable.

Let  $S_i$  be a binary predicate symbol for every natural number i, let f be a unary function symbol and consider the language  $L = \{<, f\} \cup \{S_i \mid i \in \omega\}$ . Let T be the L-theory given by the axioms of dense linear order without endpoints and the following axioms, for all i:

- 1.  $\forall xy \ (S_i(x, y) \to x < y)$ , for all i,
- 2.  $\exists xy \ S_0(x,y)$
- 3.  $\forall xy \left( S_i(x,y) \rightarrow \forall z \exists x'y' \left( x < x' < y' < y \land S_{i+1}(x',y') \land \forall w \left( x' < w < y' \rightarrow f(w) > z \right) \right) \right)$

**Proposition 0.3.** The countable theory T is finitely satisfiable in  $(\eta_1 \times \omega, <)$  but is not satisfiable in this model.

Proof. We check first that no expansion of  $\eta_1 \times \omega$  satisfies T. Assume M is such an expansion. Choose a cofinal increasing sequence  $(c_i \mid i < \omega)$  and choose  $a_0 < b_0$  such that  $S_0(a_0, b_0)$ . Inductively find  $a_i < a_{i+1} < b_{i+1} < b_i$  for  $i < \omega$  such that  $S_i(a_i, b_i)$  and  $f(x) > c_i$  for every x in the open interval  $(a_{i+1}, b_{i+1})$ . Every interval in  $\eta_1 \times \omega$  is an  $\eta_1$ -order and hence there is some c such that  $a_i < c < b_i$  for every  $i < \omega$ . But then,  $f(c) > c_i$  for every i, in contradiction with the choice of  $(c_i \mid i < \omega)$  as a cofinal sequence.

We finish the proof checking that T is finitely satisfiable in  $\eta_1 \times \omega$ . We build an expansion that satisfies the two basic axioms and the first n axioms of the third kind. Choose  $(a_s, b_s \mid s \in \omega^{\leq n})$  such that  $a_s < b_s$  and  $\{(a_{s^{\frown i}}, b_{s^{\frown i}}) \mid i < \omega\}$  are pairwise disjoint intervals contained in the interval  $(a_s, b_s)$ . For every  $i \leq n$ , define  $S_i$  as the set of all pairs  $a_s, b_s$  with length(s) = i. Now choose a cofinal increasing sequence  $(c_i \mid i < \omega)$  and define inductively f as indicated in the interval  $(a_{\emptyset}, b_{\emptyset})$  (and arbitrarily everywhere else):

- 1. If  $s \in \omega^{n-1}$  and  $x \in (a_{s \cap i}, b_{s \cap i})$ , then  $f(x) = c_{n+i}$
- 2. If  $s \in \omega^{m-1}$  with m < n and  $x \in (a_{s^{i}}, b_{s^{i}}) \setminus \bigcup_{j < \omega} (a_{s^{i}}, b_{s^{i}})$ , then  $f(x) = c_{m+i}$

Note than  $s \in \omega^m$  implies  $f(x) \ge c_m$  for every  $x \in (a_s, b_s)$ 

**Corollary 0.4.** The linear order  $\eta_1 \times \omega$  is not compactly expandable, even for countable sets of sentences.

*Proof.* By Proposition 0.3.

- **Remark 0.5.** 1. T is finitely satisfiable in every dense linear order of cofinality  $\omega$ .
  - 2. T is satisfiable in a dense linear order of cofinality  $\omega$  if and only if the order contains an  $(\omega, \omega)$ -Dedekind cut.

*Proof.* 1. This is what really gives the proof of Proposition 0.3.

2. On the one hand, if there are not  $(\omega, \omega)$ -Dedekind cuts, we can reproduce the proof of non satisfiablity of Proposition 0.3. Assume now there is such a cut and choose a < b such that the interval (a, b) contains the cut. Let the increasing sequence  $(a_i \mid i < \omega)$  and the decreasing sequence  $(b_i \mid i < \omega)$ 

with  $a < a_i < b_j < b$  define the cut and let  $(c_i \mid i < \omega)$  be an increasing cofinal sequence. We define  $S_i$  as the set of all pairs  $a_j b_j$  with  $j \ge i$ . If  $x \in (a_i, b_i) \setminus (a_{i+1}, b_{i+1})$  we put  $f(x) = c_i$  and we define f(x) arbitrarily everywhere else. This expansion satisfies T.

The following theory T' is a parametrized version of T. The language is  $L = \{\langle, f\} \cup \{S_i \mid i \in \omega\}$ , where each  $S_i$  is now a 4-ary predicate symbol and f is a 3-ary function symbol. We write  $S_i^{uv}(x, y)$  and  $f^{uv}(x)$  for  $S_i(u, v, x, y)$  and f(u, v, x) respectively. The axioms of T' are the axioms of dense linear order without endpoints and:

- 1.  $\forall uv \, xy \, (S_i^{uv}(x, y) \rightarrow u < x < y < v)$
- 2.  $\forall uv \exists xy S_0^{uv}(x,y)$
- 3.  $\forall uv \, xy \, \left( S_i^{uv}(x,y) \to \forall z \; \exists x'y' \; \left( x < x' < y' < y \land S_{i+1}^{uv}(x',y') \land \forall w \; (x' < w < y' \to f^{uv}(w) > z) \right) \right)$

**Proposition 0.6.** The countable theory T' is finitely satisfiable in  $\eta_1 \times \eta_0$  but is not satisfiable in this model.

*Proof.* Finite satisfiability is like in the proof of Proposition 0.3, but relativized to every interval. Assume that T' is satisfiable, choose a < b in a copy of  $\eta_1$  and relativize the proof of the first part of Proposition 0.3 to the interval (a, b).

**Corollary 0.7.** The linear order  $\eta_1 \times \eta_0$  is not compactly expandable, even for countable sets of sentences.

*Proof.* By Proposition 0.6.

## **Remark 0.8.** 1. T' is finitely satisfiable in every dense linear ordering of cofinality $\omega$ .

- 2. T' is satisfiable in a dense linear order of cofinality  $\omega$  if and only if the  $(\omega, \omega)$ -Dedekind cuts are dense.
- 3. In any compactly expandable dense linear order of cofinality  $\omega$ , the  $(\omega, \omega)$ -Dedekind cuts are dense.

Proof. 1. This is what really is used in the proof of Proposition 0.6.

2. If a < b are choosen in such a way that the interval (a, b) does not contain  $(\omega, \omega)$ -Dekedind cuts, then we can reproduce the first part of the proof of Proposition 0.3 relativized to the interval (a, b). The other direction is a relativized version of the proof of 2 of Remark 0.5.

3. follows from 1 and 2.