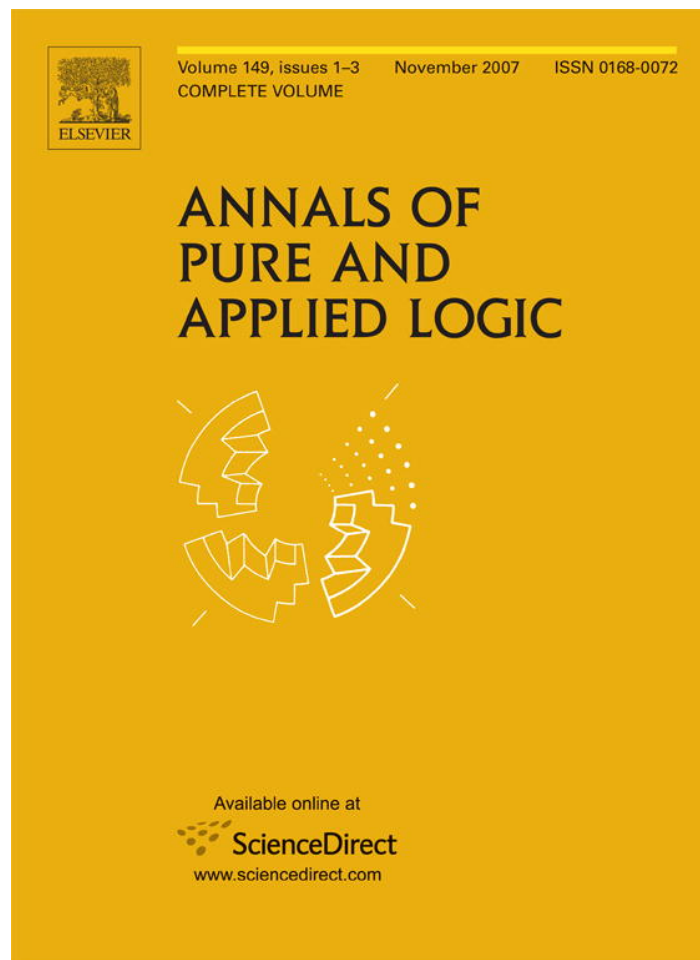


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Increasing the groupwise density number by c.c.c. forcing

Heike Mildenberger^{a,*}, Saharon Shelah^{b,c}

^a *Universität Wien, Kurt Gödel Research Center for Mathematical Logic, Währinger Str. 25, 1090 Wien, Austria*

^b *Institute of Mathematics, The Hebrew University of Jerusalem, Givat Ram, 91904 Jerusalem, Israel*

^c *Mathematics Department, Rutgers University, New Brunswick, NJ, USA*

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This work is dedicated to James Baumgartner on the occasion of his 60th birthday.

Abstract

We show that $\aleph_2 \leq \mathfrak{b} < \mathfrak{g}$ is consistent.

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0. Introduction

We show that for every regular cardinal with a definition in the ground model, the statement $\kappa = \mathfrak{b} < \mathfrak{b}^+ = \mathfrak{g}$ is consistent. In particular this holds for $\kappa = \aleph_2$. This answers a question of Andreas Blass.

We recall the definitions of the three cardinal characteristics \mathfrak{b} , \mathfrak{g} , \mathfrak{u} . The set of functions from ω to ω is written as ${}^\omega\omega$. For $f, g \in {}^\omega\omega$, we say g dominates f and write $f \leq^* g$ iff for all but finitely many n , $f(n) \leq g(n)$. A family $B \subseteq {}^\omega\omega$ is unbounded iff for every $g \in {}^\omega\omega$ there is some $f \in B$ such that $f \not\leq^* g$. The bounding number \mathfrak{b} is the smallest cardinal of an unbounded family $B \subseteq {}^\omega\omega$.

For $X, Y \in [{}^\omega\omega]$ we write $Y \subseteq^* X$ to denote that $Y \setminus X$ is finite. A subset \mathcal{G} of $[{}^\omega\omega]$ is called groupwise dense if $(\forall X \in \mathcal{G})(\forall Y \subseteq^* X)(Y \in \mathcal{G})$ and for every partition $\{[\pi_i, \pi_{i+1}) : i < \omega\}$ of ω into finite intervals there is an infinite set A such that $\bigcup\{[\pi_i, \pi_{i+1}) : i \in A\} \in \mathcal{G}$. The groupwise density number, \mathfrak{g} , is the smallest number of groupwise dense families with empty intersection.

By an ultrafilter we mean a non-principal ultrafilter on ω . Such an ultrafilter is called a P -point if for any $A_i \in \mathcal{U}$, $i < \omega$, there is an $A \in \mathcal{U}$, such that $A \subseteq^* A_i$ for $i < \omega$. Such an A is called a pseudointersection of A_i , $i < \omega$. An ultrafilter is called a Q -point if, given a strictly increasing sequence π_i , $i < \omega$, of natural numbers, there is some $A \in \mathcal{U}$ that for all $i < \omega$, $|A \cap [\pi_i, \pi_{i+1})| \leq 1$. For an ultrafilter \mathcal{U} the cardinal

* Corresponding address: Kurt Gödel Research Center for Mathematical Logic, Währinger Straße 25, A-1090 Wien, Austria. Tel.: +43 1 4277 50517; fax: +43 1 4277 50599.

E-mail addresses: heike@logic.univie.ac.at (H. Mildenberger), shelah@math.huji.ac.il (S. Shelah).

$\chi(\mathcal{U}) = \min\{|\mathcal{B}| : \mathcal{B} \subseteq \mathcal{U} \wedge (\forall X \in \mathcal{U})(\exists Y \in \mathcal{B})(Y \subseteq X)\}$ is called the character of \mathcal{U} . The cardinal u , the ultrafilter characteristic, is defined as the minimal $\chi(\mathcal{U})$ for all non-principal ultrafilters \mathcal{U} on ω .

The bounding number \mathfrak{b} and groupwise density number \mathfrak{g} can be in either order. For a regular $\kappa > \aleph_1$, we get the constellation $\aleph_1 = \mathfrak{g} < \mathfrak{b} = \kappa$ for example after adding uncountably (—their number does not matter, the continuum can be larger than κ —) many random reals over a model of MA and $2^\omega = \kappa$ [4] or in a finite support iteration of Hechler forcings of length κ [13].

Also $\aleph_1 < \mathfrak{g} < \mathfrak{b}$ is consistent. We sketch a proof given by the referee. Let $\kappa < \lambda$ be regular uncountable and assume CH. We take a finite support iteration $\langle \mathbb{P}_\beta, \mathbb{Q}_\alpha : \alpha < \lambda, \beta \leq \lambda \rangle$ of length λ adding Hechler generics in the odd steps and going through all c.c.c. partial orders of size $< \kappa$ in the even steps. Then $\mathfrak{b} = 2^\omega = \lambda$ and book-keeping gives $\text{MA}_{<\kappa}$, so that $\mathfrak{g} \geq \kappa$. The proof of $\mathfrak{g} \leq \kappa$ is a standard modification of the argument for $\mathfrak{g} = \aleph_1$ in the Hechler model.

Recall the latter argument: if all iterands are Hechler forcing, then since Hechler forcing is Suslin, absoluteness gives us that \mathbb{P}_A is completely embedded into \mathbb{P}_λ for every $A \subseteq \lambda$, where \mathbb{P}_A is defined as \mathbb{P}_λ considering only coordinates from A and ignoring the others. Furthermore, when \mathcal{A} is a directed family of subsets of λ such that for all countable subsets B of λ there is some $A \in \mathcal{A}$ with $B \subseteq A$, then \mathbb{P}_λ is the direct limit of $\mathbb{P}_A, A \in \mathcal{A}$. This is so because the conditions in Hechler forcing are reals and hence arise in countable fragments of the iteration.

Now let \mathcal{A} be a strictly increasing ω_1 -chain of subsets of λ with $\bigcup \mathcal{A} = \lambda$. Then $V[G] \cap {}^\omega \omega = \bigcup_{A \in \mathcal{A}} V[G \cap \mathbb{P}_A] \cap {}^\omega \omega$, i.e., the reals arise in an ω_1 -chain of intermediate models. By a standard argument, see [12,4], this yields $\mathfrak{g} \leq \aleph_1$.

Now return to the above situation: Say $A \subseteq \lambda$ is closed if for all even $\alpha \in A$, $\text{supp}(\mathbb{Q}_\alpha) \subseteq A$, where $\text{supp}(\mathbb{Q}_\alpha)$ is the union of the supports of the conditions determining what the order \mathbb{Q}_α is. By the countable chain condition and since the supports of the conditions are finite, $|\text{supp}(\mathbb{Q}_\alpha)| < \kappa$ for all even α . Then for each $B \subseteq \lambda$ of size $< \kappa$ there is some closed $A \supseteq B$ of size $< \kappa$. If A is closed then \mathbb{P}_A is completely embedded into \mathbb{P}_λ . Furthermore, when \mathcal{A} is a directed family of closed subsets of λ such that for all $B \subseteq \lambda$ of size $< \kappa$ there is some $A \in \mathcal{A}$ with $B \subseteq A$, then \mathbb{P}_λ is the direct limit of the $\mathbb{P}_A, A \in \mathcal{A}$. Now there is a strictly increasing κ -chain \mathcal{A} of closed subsets of λ with $\bigcup \mathcal{A} = \lambda$. Again we get $V[G] \cap {}^\omega \omega = \bigcup_{A \in \mathcal{A}} V[G \cap \mathbb{P}_A] \cap {}^\omega \omega$ and $\mathfrak{g} \leq \kappa$.

In all models so far known of the reverse inequality $\mathfrak{b} < \mathfrak{g}$ we have had $\aleph_1 = \mathfrak{b} < \mathfrak{g} = 2^\omega = \aleph_2$. The models given by a countable support iteration of Blass–Shelah, Miller or Matet forcing over a ground model satisfying CH fulfil even $\aleph_1 = u < \mathfrak{g} = 2^\omega = \aleph_2$. Since $\mathfrak{b} \leq u$ [11], the latter is stronger than $\mathfrak{b} < \mathfrak{g}$. For the constellation $\mathfrak{b} < \mathfrak{g} \leq u$ one can for example interweave random reals at the odd steps of a countable support iteration of Miller forcings, see [2, Model 7.5.5].

The main part of this work is to show that the inequality $\mathfrak{b} < \mathfrak{b}^+ = \mathfrak{g}$ can hold above \aleph_2 . There is nothing special about \aleph_2 ; any regular cardinal that is definable without parameters can serve. Our construction yields $\aleph_2 = \mathfrak{b} < \mathfrak{g} = u = 2^\omega = \aleph_3$ and it is open how to keep u small. Moreover, our construction does not allow to push \mathfrak{g} strictly above \mathfrak{b}^+ . In the last section of this work we show that $\mathfrak{g} \leq \mathfrak{d}_\mathfrak{b}$, and this is possibly a partial explanation for the obstacles in getting $\mathfrak{g} > \mathfrak{b}^+$.

The main part of this paper will be the proof of

Theorem 0.1. $\aleph_2 \leq \mathfrak{b} < \mathfrak{g}$ is consistent relative to ZFC.

Here is an outline: In Section 1 we state and prove some properties of Matet forcing with stable ordered-union ultrafilters and prove a key lemma. In Section 2 we finish the proof of Theorem 0.1. In Section 3 we show $\mathfrak{g} \leq \mathfrak{d}_\mathfrak{b}$.

1. A variant of Matet forcing

We shall define a variant of Matet forcing. For this purpose, we first introduce some notation about ordered-union ultrafilters. Our nomenclature follows Blass [3] and Eisworth [8].

We let \mathbb{F} be the collection of all finite subsets of ω . For $a, b \in \mathbb{F}$ we write $a < b$ if $(\forall n \in a)(\forall m \in b)(n < m)$. We shall work with filters on \mathbb{F} , i.e. subsets of $\mathcal{P}(\mathbb{F})$ that are closed under intersections and supersets. A sequence $\bar{a} = \langle a_n : n \in \omega \rangle$ of members of \mathbb{F} is called unmeshed if for all $n, a_n < a_{n+1}$. The set $(\mathbb{F})^\omega$ denotes the collection of all infinite unmeshed sequences in \mathbb{F} . If X is a subset of \mathbb{F} , we write $FU(X)$ for the set of all finite unions of members of X . We write $FU(\bar{a})$ instead of $FU(\{a_n : n \in \omega\})$. We let $\mathbb{P} < \mathbb{Q}$ denote that \mathbb{P} is a complete suborder of \mathbb{Q} .

Definition 1.1. Given \bar{a} and \bar{b} in $(\mathbb{F})^\omega$, we say that \bar{b} is a condensation of \bar{a} and we write $\bar{b} \sqsubseteq \bar{a}$ if $\bar{b} \subseteq FU(\bar{a})$. We say \bar{b} is almost a condensation of \bar{a} and we write $\bar{b} \sqsubseteq^* \bar{a}$ iff there is an n such that $\langle b_t : t \geq n \rangle$ is a condensation of \bar{a} .

Definition 1.2. In the Matet forcing, \mathbb{M} , the conditions are pairs (a, \bar{c}) such that $a \in \mathbb{F}$ and $\bar{c} \in (\mathbb{F})^\omega$ and $a < c_0$. The forcing order is $(b, \bar{d}) \leq (a, \bar{c})$ (the stronger condition is the smaller one) iff $a \subseteq b$ and $b \setminus a$ is a union of finitely many of the c_n and \bar{d} is a condensation of \bar{c} .

Definition 1.3. A filter \mathcal{F} on \mathbb{F} is said to be an ordered-union filter if it has a basis of sets of the form $FU(\bar{d})$ for $\bar{d} \in (\mathbb{F})^\omega$. An ordered-union filter is said to be stable if, whenever it contains $FU(\bar{d}_n)$ for $\bar{d}_n \in (\mathbb{F})^\omega$, $n < \omega$, then it also contains some $FU(\bar{e})$ for some \bar{e} that is almost a condensation of each \bar{d}_n .

Ordered-union ultrafilters need not exist, as their existence implies the existence of Q -points [3] and there are models without Q -points [10]. Under $MA(\sigma\text{-centred})$ stable (even $< 2^\omega$ -stable) ordered-union ultrafilters exist [3].

It is well known [9,4] that the forcing \mathbb{M} can be decomposed into two steps $\mathbb{P} * \mathbb{M}(\mathcal{U})$, such that \mathbb{P} is ω_1 -closed (that is, every descending sequence of conditions of countable length has a lower bound) and adds a stable ordered-union ultrafilter \mathcal{U} on the set \mathbb{F} , and that $\mathbb{M}(\mathcal{U})$ is the Matet forcing with sequences from the ultrafilter (and hence it is σ -centred).

Definition 1.4. Given a \sqsubseteq^* -descending sequence \bar{a}^α , $\alpha < \beta$, the notion of forcing $\mathbb{M}(\bar{a}^\alpha : \alpha < \beta)$ consists of all pairs (s, \bar{a}) , such that $s \in \mathbb{F}$ and \bar{a} is an end segment of one of the \bar{a}^α 's and $s < \min(a_0)$. The forcing order is the same as in the Matet forcing.

We shall use $\mathbb{M}(\bar{a}^\alpha : \alpha < \beta)$ for \sqsubseteq^* -descending sequences of length 1, of length $< \kappa$ and of length κ . The forcing $\mathbb{M}(\bar{a}^\alpha : \alpha < \beta)$ diagonalises (“shoots a real through”) $\bigcup \{a_n^\alpha : n < \omega\}$, $\alpha < \beta$.

Note that for a \sqsubseteq^* -descending sequence with a last element, $\mathbb{M}(\bar{a}^\alpha : \alpha \leq \beta)$ is equivalent to $\mathbb{M}(\bar{a}^\beta)$ and this is in turn equivalent to Cohen forcing. However, $\mathbb{M}(\bar{a}^\alpha)$ is not a complete suborder of $\mathbb{M}(\bar{a}^\alpha : \alpha < \beta)$.

We shall show that given a set of κ groupwise dense families, there are \bar{a}^α , $\alpha < \kappa$, such that $\mathbb{M}(\bar{a}^\alpha : \alpha < \kappa)$ adds a real through all the families. This is similar to the fact shown by Blass [4], that the original Matet forcing \mathbb{M} adds a real that lies in all groupwise dense families from the ground model. By unpublished results of Blass and Laflamme [4], Matet forcing preserves P -points and hence, by the iteration theorem for preserving P -points [7], it preserves u . However, our finite support iteration of iterands of the form $\mathbb{M}(\bar{a}^\alpha : \alpha < \kappa)$ and other iterands will not preserve u , as the iteration adds Cohen reals in limit steps and also at some successor steps that force a part of $MA_{<\kappa}$. We shall only keep \mathfrak{b} small.

We write names for reals in c.c.c. forcings \mathbb{P} in a standardised form $g = \text{Name}(\bar{k}, \bar{p}) = \{ \langle (n, k_{n,m}), p_{n,m} \rangle : n, m \in \omega \}$, such that $\{p_{n,m} : m \in \omega\}$ is predense in \mathbb{P} and $p_{n,m} \Vdash_{\mathbb{P}} g(n) = k_{n,m}$ and such that $k_{n,m} = k_{n,m'}$ if $p_{n,m}$ and $p_{n,m'}$ are compatible.

Lemma 1.5. Let \bar{a}^α , $\alpha < \delta$, be a \sqsubseteq^* -descending sequence. Assume $\mathbb{Q} = \mathbb{M}(\bar{a}^\alpha : \alpha < \delta)$ and $\text{cf}(\delta) > \aleph_0$ and g is a \mathbb{Q} -name for a member of ${}^\omega\omega$. Then we can find an $\alpha_0 < \delta$ such that for every $\alpha \in [\alpha_0, \delta)$ there are $p_{n,m} \in \mathbb{M}(\bar{a}^\alpha)$ and $k_{n,m} \in \omega$ such that $\{p_{n,m} : m < \omega\}$ is predense in \mathbb{Q} and $p_{n,m} \Vdash_{\mathbb{Q}} g(n) = k_{n,m}$.

Proof. We assume that $g = \{ \langle (n, h_{n,m}), q_{n,m} \rangle : m, n < \omega \}$. Since $\text{cf}(\delta) > \omega$, there is some $\alpha_0 < \delta$ such that all $q_{n,m}$ are in $\mathbb{M}(\bar{a}^\beta : \beta \leq \alpha)$. Now, given $\alpha \in [\alpha_0, \delta)$, we take

$$I_n = \{q \in \mathbb{M}(\bar{a}^\alpha) : (\exists m)(q \leq_{\mathbb{Q}} q_{n,m})\}.$$

Then I_n is predense in \mathbb{Q} . Now let $p_{n,m}$, $m < \omega$, list I_n and choose $k_{n,m}$ such that $p_{n,m} \Vdash_{\mathbb{Q}} g(n) = k_{n,m}$. Then \bar{k}, \bar{p} describe g as desired. \square

The following lemma will be used in those successor steps of our planned iterated forcing in which we want to add an infinite set that is in κ groupwise dense sets at the same time.

Lemma 1.6. Assume that κ is a regular uncountable cardinal, $2^\omega = \kappa$, $MA_{<\kappa}(\sigma\text{-centred})$, $\{\mathcal{G}_\alpha : \alpha < \kappa\}$ is a set of groupwise dense subsets and that $f = \langle f_\alpha : \alpha < \kappa \rangle$ is a \leq^* -increasing and -unbounded sequence of functions in ${}^\omega\omega$. Then there is a σ -centred forcing notion \mathbb{Q} of size κ such that

$$\Vdash_{\mathbb{Q}} \text{“} \bar{f} \text{ is unbounded} \wedge \exists X \in [{}^\omega\omega]^\omega \bigwedge_{\alpha < \kappa} X \in \mathcal{G}_\alpha \text{”}.$$

Proof. We shall build $\mathbb{Q} = \mathbb{M}(\bar{a}^\alpha : \alpha < \kappa)$ by choosing $\bar{a}^\alpha \in (\mathbb{F})^\omega$ by induction on $\alpha < \kappa$ such that $\bar{a}^\beta \sqsubseteq^* \bar{a}^\alpha$ for $\alpha < \beta$. Since $\text{cf}(\kappa) > \omega$, each \mathbb{Q} -name for a real has an equivalent $\mathbb{M}(\bar{a}^\beta)$ -name for all sufficiently large β . We shall show that we can choose \mathbb{Q} carefully, with a sealing argument, such that in the end there will be no name for a new function dominating all the $f_\alpha, \alpha < \kappa$.

Now we carry out the construction. Let $\langle \bar{b}^\alpha, \underline{g}^\alpha : \alpha < \kappa \rangle$ list the pairs (\bar{b}, \underline{g}) such that $\bar{b} \in (\mathbb{F})^\omega$ and $\underline{g} = \{ \langle (n, k_{n,m}), p_{n,m} \rangle : m, n \in \omega \}$ is an $\mathbb{M}(\bar{b})$ -name for a function in ${}^\omega\omega$ such that each pair (\bar{b}, \underline{g}) appears κ many times.

Now we shall choose by induction on $\alpha < \kappa$ some $\bar{a}^\alpha \in (\mathbb{F})^\omega$ with the following properties:

- (a) If $\beta < \alpha$ then $\bar{a}^\alpha \sqsubseteq^* \bar{a}^\beta$.
- (b) If $\alpha = 2\beta + 1$, then $\bigcup_{n < \omega} a_n^\alpha \in \mathcal{G}_\beta$.
- (c) If $\alpha = 2\beta + 2$ and for some $\gamma < 2\beta + 2$, $\bar{b}^\beta = \bar{a}^\gamma$ and \underline{g}^β is a $\mathbb{M}(\bar{b}^\beta)$ -name of a member of ${}^\omega\omega$ that can be construed as an $\mathbb{M}(\bar{a}^{2\beta+1})$ -name, then \bar{a}^α guarantees that for some $\zeta_\alpha < \kappa$,

$$\Vdash_{\mathbb{Q}} \underline{g}^\beta \not\sqsubseteq^* f_{\zeta_\alpha}.$$

For $\alpha = 0$ we let $\bar{a}^0 = \langle \{n\} : n < \omega \rangle$.

Let $\alpha < \kappa$ be a limit ordinal. We apply $\text{MA}_{<\kappa}(\sigma\text{-centred})$ to the σ -centred forcing notion $\{(\bar{a}, n, F) : \bar{a} \text{ is a finite unmeshed sequence of subsets of } n \text{ and } F \text{ is a finite subset of } \alpha\}$, ordered by $(\bar{b}, n', F') \leq (\bar{a}, n, F)$ iff $n' \geq n$, $F' \supseteq F$, and $\bar{b} = \bar{a} \hat{\ } \bar{c}$ with $c_i \cap n = \emptyset$ and $(\forall \gamma \in F)(\forall k)(b_k \subseteq [n, n'] \rightarrow b_k \in FU(\bar{a}^\gamma))$, and the dense sets $\mathcal{I}_{\beta,n} = \{(\bar{a}, m, F) : \bigcup \bar{a} \setminus n \neq \emptyset \wedge \beta \in F \wedge m \geq n\}$, $\beta < \alpha$, $n < \omega$, and thus we get a filter G intersecting all the $\mathcal{I}_{\beta,n}$ and set $\bar{a}^\alpha = \bigcup \{ \bar{a} : (\exists n, F)((\bar{a}, n, F) \in G) \}$. Then \bar{a}^α is as desired.

Step $\alpha = 2\beta + 1$. We show that, given \mathcal{G}_β and $\bar{a}^{2\beta}$, there is some condensation $\bar{a}^{2\beta+1} \sqsubseteq^* \bar{a}^{2\beta}$ such that $\bigcup_n a_n^{2\beta+1} \in \mathcal{G}_\beta$: We apply the definition of groupwise density to the partition $\{[\min(a_n^{2\beta}), \min(a_{n+1}^{2\beta})] : n < \omega\}$ and get an infinite set I such that $\bigcup \{[\min(a_i^{2\beta}), \min(a_{i+1}^{2\beta})] : i \in I\} \in \mathcal{G}_\beta$. Then also $\bigcup \{a_i^{2\beta} : i \in I\} \in \mathcal{G}_\beta$. Then we re-index the sequence $\langle a_i^{2\beta} : i \in I \rangle$ by the natural numbers, so $a_n^{2\beta+1} = a_{i_n}^{2\beta}$ for the increasing enumeration $\langle i_n : n < \omega \rangle$ of I .

Step $\alpha = 2\beta + 2$. We assume that for some $\gamma < 2\beta + 2$, $\bar{b}^\beta = \bar{a}^\gamma$ and \underline{g}^β is a $\mathbb{M}(\bar{b}^\beta)$ -name of a member of ${}^\omega\omega$ that has an equivalent $\mathbb{M}(\bar{a}^{2\beta+1})$ -name. Otherwise we can take $\bar{a}^{2\beta+2} = \bar{a}^{2\beta+1}$.

For each $n < \omega$ we choose a finite set $a_n^{\alpha+}$ such that $a_n^{2\beta+1}$ is an initial segment of $a_n^{\alpha+}$ and there is some $u_n \subseteq \{n, n+1, \dots, \ell_n - 1\}$ such that $n \in u_n$ and

$$a_n^{\alpha+} = \bigcup \{a_\ell^{2\beta+1} : \ell \in u_n\}$$

and such that for every $w \subseteq \{0, 1, \dots, \min(a_n^{2\beta+1}) - 1\}$ there is some $m_n^\beta(w)$ such that

$$p_{n, m_n^\beta(w)}^\beta \geq (w \cup a_n^{\alpha+}, \bar{a}^{2\beta+1} \upharpoonright [\ell_n, \omega)).$$

Since there are only finitely many $w \subseteq \min a_n^{2\beta+1}$, there is such an $a_n^{\alpha+}$.

Now in order to be able to concatenate the $a_n^{\alpha+}$ and in order to ensure that \underline{g}^β will not be a dominating function we thin out: Let $k(w, n)$ be one $k_{n, m_n^\beta(w)}^\beta$ that is in \underline{g}^β together with $p_{n, m_n^\beta(w)}^\beta \geq (w \cup a_n^{\alpha+}, \bar{a}^{2\beta+1} \upharpoonright [\ell_n, \omega))$. Now we take $h(n) = \max\{k(w, n) : w \subseteq \min(a_n^{2\beta+1})\}$. By our premise on \bar{f} there is some $\zeta_\alpha < \kappa$ such that $X = \{n \in \omega : h(n) < f_{\zeta_\alpha}(n)\}$ is infinite. Now we choose an infinite $Y \subseteq X$ such that $(\forall n \in Y)(\ell_n < \min(Y \setminus (n+1)))$. Let $n_i^\beta, i \in \omega$, enumerate Y . Then we set $\bar{a}^\alpha = \langle a_{n_i}^{\alpha+} : i < \omega \rangle$.

For every $n \in Y$ and $w \subseteq \min(a_n^{2\beta+1})$ we have that $(w \cup a_n^\alpha, \bar{a}^\alpha \upharpoonright [n+1, \omega)) \leq_{\mathbb{Q}} (w \cup a_n^{\alpha+}, \bar{a}^{2\beta+1} \upharpoonright [\ell_n, \omega))$.

Now we show that $\mathbb{Q} = \mathbb{M}(\bar{a}^\alpha : \alpha < \kappa)$ is as desired. It is σ -centred, because for every $w \in \mathbb{F}$, $\mathbb{Q}_w = \{(w, \bar{a}^\beta \upharpoonright [\ell, \omega)) : \ell \in \omega, w < a_\ell^\beta, \beta \in \kappa\}$ is centred.

Then the generic $W = \bigcup \{w : \exists \bar{a}(w, \bar{a}) \in G\}$ is an infinite subset of ω and since every $(w, \bar{a}) \in \mathbb{Q}$ forces in \mathbb{Q} that $w \subseteq W \subseteq w \cup \bigcup \{a_n : n < \omega\}$, we have by the choice of the \bar{a}^α in the odd steps, that the generic W is in each $\mathcal{G}_\alpha, \alpha < \kappa$.

Now we show that

$\Vdash_{\mathbb{Q}} \bar{f}$ is unbounded.

Assume towards a contradiction that there is a \mathbb{Q} -name g for a real and there is $p \in \mathbb{Q}$ such that $p \Vdash_{\mathbb{Q}}$ “ g dominates \bar{f} ”. By Lemma 1.5 there is some $\gamma < \kappa$ such that g is an $\mathbb{M}(\bar{a}^\gamma)$ -name. Then for some $\beta \geq \gamma$ we have $(\bar{b}^\beta, g^\beta) = (\bar{a}^\gamma, g)$. So at stage $\alpha = 2\beta + 2$ in our construction we take care of g 's equivalent $\mathbb{M}(\bar{a}^{2\beta+1})$ -name $\text{Name}(\bar{k}^\beta, \bar{p}^\beta)$. Let ζ_α and \bar{a}^α be as in this step. Assume that there are some $p \geq q$ and some $n(*)$ such that $q \Vdash_{\mathbb{Q}} (\forall n \geq n(*))(g(n) \geq^* f_{\zeta_\alpha}(n))$. By the form of \mathbb{Q} , $q = (s, \bar{a}^{\alpha(1)})$ for some $\alpha(1) \geq \alpha$ and some s , such that $\bar{a}^{\alpha(1)}$ is a condensation of \bar{a}^α . So there is some $n_i^\beta \geq n(*)$ such that there are r_i, r_{i+1} and j such that $a_j^{\alpha(1)} \subseteq r_{i+1}$ and $a_j^{\alpha(1)} \cap [r_i, r_{i+1}) = a_{n_i^\beta}^{\alpha(1)} = a_i^\alpha$. Then we set $s' = s \cup (\bigcup \bar{a}^{\alpha(1)} \cap [0, r_i))$, and we set $q' = (s' \cup a_i^\alpha, a_{j+1}^{\alpha(1)}, \dots)$.

We set $m_{n_i^\beta}^\beta(s') = m$. Then q' witnesses that q and $p_{n_i^\beta, m}^\beta$ are compatible, because $q \geq q'$ and $p_{n_i^\beta, m}^\beta \geq q'$. However, our choice of m yields $p_{n_i^\beta, m}^\beta \Vdash_{\mathbb{Q}} g(n_i^\beta) = k_{n_i^\beta, m}^\beta < f_{\zeta_\alpha}(n_i^\beta)$. Contradiction. \square

2. A finite support iteration

Now we describe a finite support iteration.

Theorem 2.1. *Let $\kappa = \text{cf}(\kappa) > \aleph_1$ and assume $\kappa^{<\kappa} = \kappa$ and assume that $\diamond(S)$ holds for some stationary $S \subseteq \{\alpha < \kappa^+ : \text{cf}(\alpha) = \kappa\}$. There is some finite support iteration $\langle \mathbb{P}_\beta, \mathbb{Q}_\alpha : \alpha < \kappa^+, \beta \leq \kappa^+ \rangle$ such that*

$$\Vdash_{\mathbb{P}_{\kappa^+}} \text{MA}_{<\kappa} \wedge 2^\omega = \kappa^+ \wedge \mathfrak{g} = \kappa^+ \wedge \mathfrak{b} = \kappa.$$

Proof. By $\diamond(S)$ there is $\bar{Y} = \langle Y_\delta : \delta \in S \rangle$, such that $Y_\delta \subseteq \delta$ and for all $Y \subseteq \kappa^+$ the set $\{\delta \in S : Y_\delta = Y \cap \delta\}$ is a stationary subset of κ .

As the ground model has $\kappa^{<\kappa} = \kappa$, we can fix an enumeration $\mathbb{Q}'_\beta, \beta \in \kappa^+ \setminus (S \cup \kappa)$ of all c.c.c. names of partial orders on all ordinals $< \kappa$, such that each name appears cofinally often before each $\alpha \in \kappa^+$ of cofinality κ .

We choose \mathbb{Q}_β by induction on $\beta < \kappa^+$. In the first κ steps we add κ Hechler reals $f_\alpha, \alpha < \kappa$, and these will be the \leq^* -increasing unbounded sequence whose unboundedness will be preserved through the rest of the iteration.

In the following steps we distinguish two cases: First case: If $\beta \in S$ and $\Vdash_{\mathbb{P}_\beta}$ “ Y_β is a code for a \mathbb{P}_β -name of a family $\{\mathcal{G}_\zeta : \zeta < \kappa\}$ of κ groupwise dense subsets of $[\omega]^\omega$ ”. Then we take \mathbb{Q}_β such that $\Vdash_{\mathbb{P}_\beta}$ “ \mathbb{Q}_β is as in Lemma 1.6”, and we get $\Vdash_{\mathbb{P}_\beta * \mathbb{Q}_\beta}$ “there is an infinite subset of ω that is in each $\mathcal{G}_\zeta, \zeta < \kappa$ ”.

Second case: Not all the criteria from the first case are fulfilled. Then, as in the usual iteration for Martin's axiom, \mathbb{Q}_β will be \mathbb{Q}'_β with weights p , where we have $p \Vdash_{\mathbb{P}_\beta}$ “ \mathbb{Q}'_β is a c.c.c. forcing of cardinality less than κ ”, and \mathbb{Q}_β will be the trivial partial order with orthogonal weight.

As $\kappa^{<\kappa} = \kappa$ also in the final model we have $\text{MA}_{<\kappa}$, because if \mathbb{P} is a c.c.c.-notion of forcing of cardinality $< \kappa$ in $\mathbf{V}^{\mathbb{P}_{\kappa^+}}$ and if $\gamma < \kappa$ and $D_\alpha, \alpha < \gamma$, is a sequence of predense subsets of \mathbb{P} , then this holds in an initial segment $\mathbf{V}^{\mathbb{P}^\delta}$ for some $\delta \in \kappa^+ \setminus S$ and hence by what we did in successor steps for $\delta \notin S$, there is a directed $G \subseteq \mathbb{P}$ such that $\bigwedge_{\alpha < \gamma} G \cap D_\alpha \neq \emptyset$.

By Lemma 1.6, in each Matet step of the iteration the unbounded family $f_\alpha, \alpha < \kappa$, is preserved. By [1, 2.1] also in each extension by \mathbb{Q} of size $< \kappa$ the unbounded family is preserved. By the preservation theorem for finite support iterations from [2, 6.5.3], the unbounded well-ordered family $f_\alpha, \alpha < \kappa$, is preserved in all limit steps of the iteration. Thus we have $\mathfrak{b} = \kappa$ in the extension.

Let $\mathcal{G}_\alpha, \alpha < \kappa$, be a family of groupwise dense sets in $V^{\mathbb{P}}$. As $\langle Y_\delta : \delta \in S \rangle$ is a diamond sequence and as being κ groupwise dense families reflects down into a κ -club set in κ^+ (a proof for the countable support iteration of proper forcings is given by [6], and a simpler form thereof works for finite support iteration of c.c.c. forcings), at stationarily many steps Y_δ guesses a name for $\mathcal{G}_\alpha \cap V^{\mathbb{P}^\delta}, \alpha < \kappa$, and by the choice of $\mathbb{P}_{\delta+1}$ in the first case, the forcing adds a real that is in all the \mathcal{G}_α . Hence $\mathfrak{g} = \kappa^+$. \square

Corollary 2.2. $\aleph_2 \leq \mathfrak{b} < \mathfrak{g}$ is consistent relative to ZFC.

Proof. We take a ground model of GCH and then we force $\diamond(S)$ for some stationary $S \subseteq \{\alpha < \aleph_3 : \text{cf}(\alpha) = \aleph_2\}$. Then we apply the previous theorem with $\kappa = \aleph_2$. \square

3. An upper bound on \mathfrak{g}

Definition 3.1. Let κ be a regular cardinal. On ${}^\kappa\kappa$ we define the almost order $f \leq^* g$ iff there is some $\alpha < \kappa$ such that for all $\beta \geq \alpha$, $f(\beta) \leq g(\beta)$. A set $D \subseteq {}^\kappa\kappa$ is called dominating in $({}^\kappa\kappa, \leq^*)$ iff for every $f \in {}^\kappa\kappa$ there is some $g \in D$ such that $g \geq^* f$. So we have the dominating number \mathfrak{d}_κ which is the smallest size of a dominating set.

Theorem 3.2. $\mathfrak{g} \leq \mathfrak{d}_\mathfrak{b}$.

Proof. Let $D = \{f_\varepsilon : \varepsilon < \mathfrak{d}_\mathfrak{b}\}$ be a dominating family. We shall build groupwise dense families \mathcal{G}_f , $f \in D$, such that their intersection is empty. First we introduce some notation and present a characterisation of \mathfrak{b} in terms of a slightly different ordering than \leq^* on ${}^\omega\omega$. \square

Definition 3.3. (1) $\text{Inc}(\omega) = \{\bar{n} : \bar{n} = \langle n_i : i < \omega \rangle \text{ is increasing}\}$.

(2) ([5, Def. 2.9]) $\bar{m} \leq^{**} \bar{n}$ iff $(\forall^\infty i)(|\{j : m_j \in [n_i, n_{i+1}]\}| \geq 2)$.

We thank Boaz Tsaban for telling us that the following lemma was originally proved by Blass. We nevertheless let our proof stand, since it is self-contained and in contrast to Blass' elegant proof, does not speak about morphisms and duality.

Lemma 3.4. ([5, Theorem 2.10])

(1) \leq^{**} is a partial order.

(2) $(\text{Inc}(\omega), \leq^{**})$ is \mathfrak{b} -directed.

(3) There is an \leq^{**} -increasing sequence of length \mathfrak{b} with no upper bound.

Proof. (1) is easy. (2) Let $\gamma < \mathfrak{b}$ and \bar{n}_α , $\alpha < \gamma$, be given. We first need the twofold iteration operation. For a strictly increasing function $f : \omega \rightarrow \omega$ we define \tilde{f} by $\tilde{f}(0) = 0$, $\tilde{f}(n+1) = f(f(\tilde{f}(n)))$. We take $f \geq^* \bar{n}_\alpha$ for all $\alpha < \gamma$.

Now we have $(\forall \alpha < \gamma)(\forall^\infty i)(f(i) \geq n_\alpha(i))$. We show that $\tilde{f} \geq^{**} \bar{n}_\alpha$ for all $\alpha < \gamma$. We fix α and take i_0 so that $(\forall i \geq i_0)(f(i) \geq n_\alpha(i) \wedge f(\tilde{f}(i)) - \tilde{f}(i) \geq 2)$. Then for $i \geq i_0$ we get: $\tilde{f}(i+1) = f(f(\tilde{f}(i)))$ and $f(\tilde{f}(i)) \geq n_\alpha(\tilde{f}(i)) \geq \tilde{f}(i)$ and $f(f(\tilde{f}(i))) \geq n_\alpha(f(\tilde{f}(i)))$, so at least $n_\alpha(\tilde{f}(i))$, $n_\alpha(\tilde{f}(i)+1)$, \dots , $n_\alpha(f(\tilde{f}(i)))$ are in the interval $[\tilde{f}(i), \tilde{f}(i+1)]$, so at least 2 elements.

(3) Let f_α , $\alpha < \mathfrak{b}$, be an unbounded family of strictly increasing functions. We let $n_{\alpha,i} = f_\alpha(i)$. There is no $\bar{n} \geq^{**} \bar{n}_\alpha$ for all $\alpha < \mathfrak{b}$ as otherwise $\bar{n} \geq^* f_\alpha$ for all $\alpha < \mathfrak{b}$. Now we use (2) to choose by induction on $\alpha < \mathfrak{b}$ an \leq^{**} -increasing sequence $\langle \bar{m}_\alpha : \alpha < \mathfrak{b} \rangle$ by taking for each $\alpha < \mathfrak{b}$ some $\bar{m}_\alpha \geq^{**} \bar{n}_\alpha$ such that $\bar{m}_\alpha \geq^{**} \bar{m}_\beta$ for all $\beta < \alpha$. \square

Definition 3.5. Let $\langle \bar{n}_\alpha : \alpha < \mathfrak{b} \rangle$ be a \leq^{**} -increasing and -unbounded sequence in $\text{Inc}(\omega)$.

(1) Let $A \in [\omega]^\omega$ and $\bar{n} \in \text{Inc}(\omega)$. We let $\text{In}(A, \bar{n}) = \{i : A \cap [n_i, n_{i+1}] \neq \emptyset\}$.

(2)

$$\mathcal{G}(\langle \bar{n}_\alpha : \alpha < \mathfrak{b} \rangle) = \{A \in [\omega]^\omega : (\exists \alpha) \langle n_{\alpha,i} : i \in \text{In}(A, \bar{n}_\alpha) \rangle \geq^{**} \bar{n}_{\alpha+1}\}.$$

Remark. Since \bar{n}_α , $\alpha < \mathfrak{b}$, is increasing and unbounded, there is some minimal $\beta \geq \alpha$ such that $\langle n_{\alpha,i} : i \in \text{In}(A, \bar{n}_\alpha) \rangle \not\geq^{**} \bar{n}_\beta$. The requirement for \bar{n}_β in the definition of $\mathcal{G}(\langle \bar{n}_\alpha : \alpha < \mathfrak{b} \rangle)$ goes in the opposite direction: $\bar{n}_\alpha \leq^{**} \bar{n}_\beta \leq^{**} \langle n_{\alpha,i} : i \in \text{In}(A, \bar{n}_\alpha) \rangle$ and hence A has to be sufficiently small.

Lemma 3.6. If $\langle \bar{n}_\alpha : \alpha < \mathfrak{b} \rangle$ is \leq^{**} -unbounded and $\alpha_0 < \mathfrak{b}$, then $\mathcal{G}(\langle \bar{n}_\alpha : \alpha_0 < \alpha < \mathfrak{b} \rangle)$ is groupwise dense.

Proof. We have that $\text{In}(B, \bar{n}_\alpha) \subseteq^* \text{In}(A, \bar{n}_\alpha)$ if $B \subseteq^* A$ and thus $\mathcal{G}(\langle \bar{n}_\alpha : \alpha_0 < \alpha < \mathfrak{b} \rangle)$ is closed under infinite almost subsets. Now let a partition $\{\pi_i, \pi_{i+1} : i < \omega\}$ be given and set $\bar{\pi} = \langle \pi_{2i} : i < \omega \rangle$. Then take $\alpha \geq \alpha_0$ such that $\bar{n}_\alpha \not\geq^{**} \bar{\pi}$. So there are infinitely many i such that there is at most one element j such that $n_{\alpha,j} \in [\pi_{2i}, \pi_{2i+2}]$.

Now we inductively choose increasing sequences $i_n, j_n, j'_n, n \in \omega$ and $u_n \in 2$. We take i_0 such that there is at most one $n_{\alpha,j} \in [\pi_{2i_0}, \pi_{2i_0+2}]$ and such that there is some $n_{\alpha,j} \leq \pi_{2i_0+2}$. We name the largest j such that $n_{\alpha,j} \leq \pi_{2i_0+2}$ to be j_0 . If $n_{\alpha,j_0} \leq \pi_{2i_0+1}$, then let $j'_0 = j_0$, otherwise let $j'_0 = j_0 - 1$.

Now let i_n and j_n be defined. Then we take $i_{n+1} > i_n$ such that there is at most one $n_{\alpha,j}$ in $[\pi_{2i_{n+1}}, \pi_{2i_{n+1}+2}]$ and again we let $j_{n+1} > j_n$ be so that $n_{\alpha,j_{n+1}}$ is the largest $n_{\alpha,j} \leq \pi_{2i_{n+1}+2}$. If $n_{\alpha,j_{n+1}} \leq \pi_{2i_{n+1}+1}$, then let $j'_{n+1} = j_{n+1}$, otherwise let $j'_{n+1} = j_{n+1} - 1$. In addition we take i_{n+1} so large such that $[n_{\alpha,j'_n}, n_{\alpha,j'_{n+1}}]$ contains at least two different

$n_{\alpha+1,j}$. We let $u_n = 1 - (j_n - j'_n)$ and finally we let $A = \bigcup \{[\pi_{2i_n+u_n}, \pi_{2i_n+u_n+1}) : n \in \omega\}$. By the construction, $\text{In}(A, \bar{n}_\alpha)$ is infinite and $\langle n_{\alpha,i} : i \in \text{In}(A, \bar{n}_\alpha) \rangle = \langle n_{\alpha,j'_n} : n \in \omega \rangle \geq^{**} \bar{n}_{\alpha+1}$. \square

Proof of Theorem 3.2. Suppose that $\{f_\varepsilon : \varepsilon < \mathfrak{d}_b\}$ is a dominating family. We take some fixed \leq^{**} -increasing and -unbounded sequence $\langle \bar{n}_\gamma : \gamma < b \rangle$. For each $\varepsilon < \mathfrak{d}_b$ let

$$E_\varepsilon = \{\alpha < b : (\forall \beta < \alpha)(f_\varepsilon(\beta) < \alpha)\}.$$

This is a club in the regular cardinal b , and let $\langle \xi_{\varepsilon,\alpha} : \alpha < b \rangle$ be the increasing continuous enumeration of it. We show that

$$\bigcap_{\varepsilon \in \mathfrak{d}_b, \alpha_0 < b} \mathcal{G}(\langle \bar{n}_{\xi_{\varepsilon,\alpha}} : \alpha_0 < \alpha < b \rangle) = \emptyset.$$

Assume towards a contradiction that A is infinite and in this intersection. We define $f_A : b \rightarrow b$ by

$$f_A(\alpha) = \min\{\gamma : \gamma \geq \alpha \wedge \langle n_{\alpha,i} : i \in \text{In}(A, \bar{n}_\alpha) \rangle \not\geq^{**} \bar{n}_\gamma\}.$$

Since $f_\varepsilon, \varepsilon < \mathfrak{d}_b$, is a dominating family, there is some ε and some α_0 such that for all $\alpha \geq \alpha_0$, $f_A(\alpha) \leq f_\varepsilon(\alpha)$. Since $A \in \mathcal{G}(\langle \bar{n}_{\xi_{\varepsilon,\beta}} : \alpha_0 < \beta < \kappa \rangle)$, there is some $\alpha_0 < \xi_{\varepsilon,\beta} \in E_\varepsilon$ such that $\langle n_{\xi_{\varepsilon,\beta},i} : i \in \text{In}(A, \bar{n}_{\xi_{\varepsilon,\beta}}) \rangle \geq^{**} \bar{n}_{\xi_{\varepsilon,\beta+1}}$.

Hence $\xi_{\varepsilon,\beta+1} < f_A(\xi_{\varepsilon,\beta})$. But $\xi_{\varepsilon,\beta+1} \in E_\varepsilon$, that means $f_\varepsilon(\xi_{\varepsilon,\beta}) < \xi_{\varepsilon,\beta+1} < f_A(\xi_{\varepsilon,\beta})$, which contradicts the choice of ε and α_0 . \square

Remark. So Theorem 3.2 shows that c.c.c. forcing of any length over a model of GCH will give $\mathfrak{g} \leq \mathfrak{d}_b = b^+$, since c.c.c. forcing does not increase the value of \mathfrak{d}_b if it preserves the value of b .

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