

subgroup of  $M$ . (pp-definable subgroups were introduced in [34] as 'endlich matrizielle Untergruppen')

**Lemma 1.2.** Let  $\varphi(x, y)$  be a pp-formula and  $\mathfrak{a} \in M$ . Then  $\varphi(M, \mathfrak{a})$  is empty or a coset of  $\varphi(M, \mathbf{0})$ . ( $\mathfrak{a}$  stands for a finite sequence  $a_1, \dots, a_n$  of elements of  $M$ .)

**Proof.**  $M \models \varphi(x, \mathfrak{a}) \rightarrow (\varphi(y, \mathbf{0}) \leftrightarrow \varphi(x + y, \mathfrak{a}))$ .

**Corollary 1.3.** Let  $\mathfrak{a}, \mathfrak{b} \in M$ ,  $\varphi(x, y)$  a ppf. Then (in  $M$ )  $\varphi(x, \mathfrak{a})$  and  $\varphi(x, \mathfrak{b})$  are equivalent or contradictory. *(Handwritten: nicht äquivalent)*

**Note.** The pp-definable subgroups are closed under  $\cap$  and  $+$ . If  $\varphi(x)$ ,  $\psi(x)$  are ppf, we write

$$\varphi \cap \psi = \varphi \wedge \psi,$$

$$\varphi + \psi = \exists y, z \varphi(y) \wedge \psi(z) \wedge y + z = x.$$

By  $\varphi \subset \psi$  we mean that  $\vdash \varphi(x) \rightarrow \psi(x)$ .

For the proof of 1.1 we need two further lemmas:

**Lemma 1.4** (B.H. Neumann). Let  $H_i$  denote abelian groups. If  $H_0 + a_0 \subset \bigcup_{i=1}^n H_i + a_i$  and  $H_0/(H_0 \cap H_i)$  is infinite for  $i > k$ , then  $H_0 + a_0 \subset \bigcup_{i=1}^k H_i + a_i$ .

**Lemma A** (for sets  $A_i$ ). If  $A_0$  is finite, then  $A_0 \subset \bigcup_{i=1}^k A_i$  iff

$$\sum_{\Delta \in \{1, \dots, k\}} (-1)^{|\Delta|} \left| A_0 \cap \bigcap_{i \in \Delta} A_i \right| = 0. \quad (\text{Easy})$$

**Proof of Theorem 1.1.** Fix  $M$ . We have to show: If  $\psi(x, y)$  is in  $M$  equivalent to a boolean combination of ppf, then also  $\forall x \psi$  is. Since ppf are closed under conjunction,  $\psi$  is  $M$ -equivalent to a conjunction of formulas

$$\varphi_0(x, y) \rightarrow \varphi_1(x, y) \vee \dots \vee \varphi_n(x, y), \quad \varphi_i \text{ ppf.}$$

We can assume that already  $\psi$  has this form.

Let  $H_i = \varphi_i(M, \mathbf{0})$ . By 1.2 the  $\varphi_i(M, y)$  are empty or cosets of  $H_i$ . (Think of  $y$  as being fixed in  $M$ .) Let  $H_0/(H_0 \cap H_i)$  be finite for  $i = 1, \dots, k$  and infinite for  $i = k+1, \dots, n$  ( $k \geq 0$ ). By 1.4

$$M \models \forall x \psi \leftrightarrow \forall x (\varphi_0(x, y) \rightarrow \varphi_1(x, y) \vee \dots \vee \varphi_k(x, y)).$$

We apply Lemma A to the sets  $A_i = \varphi_i(M, y)/(H_0 \cap \dots \cap H_k)$ :  $\varphi_0(M, y) \cap \bigcap_{i \in \Delta} \varphi_i(M, y)$  is empty or consists of  $N_\Delta$  cosets of  $H_0 \cap \dots \cap H_k$ , where

$$N_\Delta = \left| H_0 \cap \bigcap_{i \in \Delta} H_i / (H_0 \cap \dots \cap H_k) \right|.$$

$$\begin{aligned} & \leftrightarrow \bigvee_{\Delta \in \mathcal{P}(\{1, \dots, k\})} \left( \bigwedge_{i \in \Delta} \exists x (\varphi_0(x, y) \wedge \bigwedge_{i \in \Delta} \varphi_i(x, y)) \wedge \bigwedge_{\Delta \notin \mathcal{P}(\{1, \dots, k\})} \exists x (\varphi_0(x, y) \wedge \bigwedge_{i \in \Delta} \varphi_i(x, y)) \right) \\ \text{Whence} & \sum_{\Delta \in \mathcal{P}(\{1, \dots, k\})} (-1)^{|\Delta|} N_\Delta = 0 \\ M \models \forall x \psi & \leftrightarrow \sum_{\Delta \in \mathcal{P}(\{1, \dots, k\})} (-1)^{|\Delta|} N_\Delta = 0, \end{aligned}$$

where

$$N_\Delta = \left\{ \Delta \subset \{1, \dots, k\} \mid \exists x \left( \varphi_0(x, y) \wedge \bigwedge_{i \in \Delta} \varphi_i(x, y) \right) \right\}.$$

The resulting formula depends only on the indices  $N_\Delta$ . Since pp-sentences are always true, the above proof shows: (Theorem 1.1)

**Corollary 1.5** (Monk [14]).  $M_1$  and  $M_2$  are elementarily equivalent iff

$$\varphi/\psi(M_1) = \varphi/\psi(M_2) \quad \text{for all ppf } \varphi \subset \psi.$$

(Notation:  $\varphi/\psi(M) = (\varphi(M) : \psi(M)) \bmod \infty$ . We assume  $\varphi/\psi(M)$  to be a natural number or  $\infty$ . Convention:  $n \cdot \infty = \infty \cdot n = \infty$  ( $n \geq 1$ ) etc.)

**Definition.**  $M$  is a pure submodule of  $N$ , if  $M \subset N$  and  $M \models \varphi \wedge \psi \rightarrow \psi$  for all ppf  $\varphi \subset \psi$ . *(Handwritten: Faktor, Abelsche Gruppen, Faktorgruppen, S.o. homomorphie)*

$$N \models \varphi(\mathfrak{a}) \Leftrightarrow M \models \varphi(\mathfrak{a}) \quad \text{for all ppf } \varphi \text{ and } \mathfrak{a} \in M.$$

**Examples.**  $M < N$ ,  $M$  a direct factor of  $N$ . *(Handwritten: separable, direct factor, Projektion)*

**Corollary 1.6** (Sabbagh [29]).  $M$  is an elementary substructure of  $N$  iff  $M$  is pure in  $N$  and elementarily equivalent to  $N$ .

**Proof.** Since  $M \equiv N$ , every  $L_R$ -formula is - in  $M$  and in  $N$  - equivalent to the same boolean combination of ppfs.

**Corollary 1.7.** Suppose  $L \subset M \subset N$ . If  $L < N$  and  $M$  pure in  $N$ , then  $M < N$ .

**Proof.**  $\varphi/\psi(L) \leq \varphi/\psi(M) \leq \varphi/\psi(N)$  by pureness, whence  $M \equiv L \equiv N$ . *(Handwritten: \varphi/\psi(L) \rightarrow L \text{ pure in } N)*

**Corollary 1.8.** Let  $\kappa$  be an infinite cardinal. Denote by  $\prod_{i \in I}^{\kappa} M_i$  the product of the  $M_i$  restricted to sequences with  $< \kappa$  members  $\neq 0$ . Then  $\prod_{i \in I}^{\kappa} M_i < \prod_{i \in I} M_i$ . *(Handwritten: Erweitern: \varphi(M)/\varphi(N) \rightarrow \varphi(N)/\varphi(N)*

**Proof.**  $\prod_{i \in I}^{\kappa} M_i$  is the directed union of the modules  $\prod_{i \in J} M_i$ ,  $|J| < \kappa$ , which are direct factors of  $\prod_{i \in I} M_i$ . Whence  $\prod_{i \in I}^{\kappa} M_i$  is pure  $\prod_{i \in I} M_i$ . One computes easily

$$\varphi \left( \prod_{i \in I}^{\kappa} M_i \right) = \prod_{i \in I}^{\kappa} \varphi(M_i).$$

Whence

$$\varphi/\psi \left( \prod_{i \in I}^{\kappa} M_i \right) = \prod_{i \in I} \varphi/\psi(M_i) = \varphi/\psi \left( \prod_{i \in I} M_i \right).$$